DFT Calculation of Vibrations in the Clusters of Zinc and Oxygen Atoms (Pengiraan Getaran bagi Kluster Atom Zink dan Oksigen dengan DFT)

AHMAD NAZRUL ROSLI*, HASAN ABU KASSIM & KESHAV N. SHRIVASTAVA

ABSTRACT

The nanometer size clusters are often present in ZnO. We have calculated the vibrational frequencies of zinc oxide by using the density-functional theory. We synthesized clusters of ZnO starting with ZnO_n and continue with Zn_2O_n , Zn_3O_n and Zn_4O_n with n = 1, 2, 3 and 4. By minimizing the energy of the Schrödinger equation, we found the bond lengths and the vibrational frequencies of each cluster. These calculated data are compared to the experimentally measured Raman spectra of ZnO_4 to identify the clusters which exist in this material. The density-functional theory in the local density approximation (LDA) is used with double numerical basis set. From this calculation, we find that the bond length for the cluster of ZnO_4 with tetrahedral symmetry (T_d) is 1.923 Å and the vibrational frequencies are 94.4 cm⁻¹ and 440.4 cm⁻¹ with degeneracy of 3 each. We have made several clusters using zinc and oxygen atoms and have calculated the vibrational frequencies, degeneracies and intensities in each case.

Keywords: Cluster; density functional theory; Raman spectrum, vibrational frequency; ZnO

ABSTRAK

Kluster bersaiz nanometer selalu wujud dalam ZnO. Kami telah membuat pengiraan frekuensi getaran bagi zink oksida menggunakan teori fungsi ketumpatan. Kluster ZnO dibentuk daripada ZnO_n dan diikuti pula oleh Zn₂O_n, Zn₃O_n dan Zn₄O_n dengan n = 1, 2, 3 dan 4. Apabila tenaga persamaan Schrödinger diminimumkan, kami dapat mengukur panjang ikatan dan frekuensi getaran bagi setiap kluster. Perbandingan antara data pengiraan ini dengan spektrum Raman hasil daripada keputusan eksperimen dibuat untuk mengesan kluster yang wujud dalam bahan ZnO₄. Teori fungsi ketumpatan dalam penghampiran ketumpatan (LDA) digunakan bersama set asas nombor berganda. Hasil daripada pengiraan ini, kami menemui panjang ikatan bagi kluster ZnO₄ dengan simetri tetrahedron (T_d) adalah 1.923 Å dan frekuensi getaran adalah 94.4 cm⁻¹ dan 440.4 cm⁻¹ dengan 3 ulangan setiap satu. Kami menghasilkan beberapa kluster menggunakan atom zink dan oksigen dengan frekuensi getaran, ulangan dan keamatan telah dikira untuk setiap kes.

Kata kunci: Getaran frekuensi; kluster; spektrum Raman; teori fungsi ketumpatan; ZnO

INTRODUCTION

The zinc oxide (ZnO) semiconductor is an interesting material because of the intense laser emission at the gap frequency. This wurtzite type crystal belongs to the space group of C_{6v}^{4} (C6₃mc). It is useful for the potential applications in optoelectronic devices (Abrashev et al. 1994; Arguello et al. 1969). Using Raman spectroscopy, the frequencies, linewidth and lifetime can be found to give more information about the material. A previous study by Arguello et al. (1969) reported the first order Raman spectrum for a variety of wurtzite structures including ZnO (Abrashev et al. 1994). The frequencies of optical modes have been reported for BeO, ZnO, CdS and ZnS. Abrashev et al. (1994) reported the polarized Raman spectra of Nd₂BaZnO₅ and compared with infra red (IR) absorption. They analyzed the Raman active modes of ZnO₄ tetrahedral that occur in this material after they isolated the frequencies of Nd and Ba. Another material, Nd₂BaCuO₅ has been used in this report by using the same method including IR-absorption. The temperature dependence of the Raman scattering of ZnO has been discussed by Cusco et al.

(2007) in the range from 80 to 750K. The discussion in E_2^{high} mode of ZnO found the lifetime at room temperature to be 0.5 ps. Another report also showed the temperature dependence for alloy material, Co doped ZnO using Raman spectroscopy with temperature range from 80 to 800 K (Samanta et al. 2007). Nanostructure of ZnO material with variety of morphology is interesting for the development of devices so it is important to control the growth of morphology. The nanobelts of ZnO nanostructure had been reported by Lucas et al. (2007) by using the combination of Raman spectroscopy and the atomic force microscopy (AFM) from which they found two growth modes of the nanostructure.

In this paper, we report our calculation of vibrational frequencies of several clusters of zinc oxide. The DMol³ of Accelerys software has been used to calculate the minimum energy of the system by using the density-functional theory (DFT). DFT has been widely used to calculate the vibration of molecules for understanding of the structure. DFT has a reliable result with high accuracy to predict the energy of a molecule. We compared our calculated values to those

experimentally measured from the experimental Raman spectra (Abrashev et al. 1994; Arguello et al. 1969). The bond length of ZnO cluster has also been calculated. In the previous report, we have done calculations on Ge, S and I atoms and found that the calculated vibrational frequencies agree with the Raman frequencies of GeSI glass (Devi et al. 2005). The calculations performed for the clusters of GePS, GeSe, Se and AsO for obtaining vibrational frequencies are extremely successful in terms of comparison with the experimental values (Jemali et. al. 2008, Kassim et. al. 2007; Rosli et al. 2010a, 2010b). The application of the DFT on thymine had been discussed by Zabidi et. al. 2007. This paper also explained the difference between DFT and Hartree-Fock theory. There is a calculation of vibrational frequencies of carbon nitride (Rosli et al. 2010c) which agrees with the experimental data. We found that clusters of atoms were present in the actual material used by the experimentalists to study the Raman spectrum.

CLUSTERS

We use the density-functional theory to obtain the minimum energy of the Schrödinger equation. The local density approximation (LDA) is used to calculate the exchangecorrelation term in DFT. The Kohn–Sham equations are solved and vibrational frequencies are deduced (Hohenberg & Kohn 1964; Kohn 1999; Kohn & Sham 1965). The bond length for this cluster is also determined. The cluster which we have calculated are given in Figure 1 and the double-numerical (DN) wave functions were used in all of the computations. The clusters were built starting with one zinc atom with *n* number of oxygen atoms, where n = 1, 2, 3 and 4. Then in the next step more zinc atoms are used. For example, we built two, three and four atoms of zinc with varying number of oxygen atoms.

The first cluster is diatomic ZnO (Figure 1(a)). This cluster has been calculated and the optimized bond length was found to be 1.867 Å. The vibrational frequency is 490.5 cm⁻¹ with intensity of 1.64 (km/mol).

The linear cluster of ZnO_2 (Figure 1(b)) has been built with Zn in the center while both oxygen atoms on the left and the right sides with 180° of angle. The vibrational frequencies (intensities) {degeneracies} are 88.5 (21.8) {2} and 629.6 (0.26) cm⁻¹ (km/mol). The bond length for both distances is found to be 1.821 Å. Linear molecule has 3n - 5vibrations modes while *n* is number of molecule. For ZnO diatomic, n = 2 so that 3n - 5 = 1 which is 1 vibration for this cluster. For 3 atoms of ZnO₂ linear, the vibrations mode for this cluster is 4. Our calculation showed 2 vibrations at 88.5 cm⁻¹ and 1 vibration at 629.6 cm⁻¹. Another frequency is 494.78 cm⁻¹, however this result has zero intensity due to the symmetry vibration that doesn't change the dipole moment. The vibrations mode for a non linear molecule is 3n - 6.

The clusters of Zn_nO_n have been built until Zn_4O_4 and the calculated vibrational frequencies of all cluster of Zn_nO_n are shown in the Tables 1 and 2. The bond length of all Zn_nO_n clusters are shown in Table 3 and the stick-ball models of all clusters shown in Figure 1.

S. No.	Cluster	Frequency (cm ⁻¹)	Intensity (km/mol)	Degeneracies
1.	ZnO ₃ Spoke	93.90	6.71	2
2.	5 -	116.69	26.30	-
3.		507.87	0.01	2
4.	ZnO ₃ Triangular	93.91	6.71	2
5.	2	116.70	26.29	-
6.		507.98	0.01	2
7.	$ZnO_4 T_d$	67.28	0.01	-
8.	+ u	94.42	7.08	-
9.		94.44	7.15	-
10.		94.46	7.22	-
11.		440.37	0.16	-
12.		440.40	0.15	-
13.		440.42	0.14	-
14.	ZnO ₄ Spoke	99.52	1.71	2
15.		118.17	23.63	-
16.		463.41	0.30	2
17.	ZnO ₄ Square	99.32	1.47	2
18.		120.13	23.68	-
19.		463.27	0.36	2
20.	Zn ₂ O ₂ Linear	44.43	19.97	2
21.	<i>L L</i>	547.48	0.39	-

TABLE 1. The frequencies, intensities and degeneracies calculated from the first principles for various clusters of zinc oxide

S. No.	Cluster	Frequency (cm ⁻¹)	Intensity (km/mol)	Degeneracies
1.	Zn ₂ O ₂ Bytriangular	117.25	18.38	-
2.	2 2 4 4	371.32	17.91	-
3.		382.35	1.89	-
4.	Zn ₂ O ₄ Dumbbell	13.53	0.04	-
5.	2 7	69.43	23.27	-
6.		78.86	30.16	-
7.		473	1.61	-
8.		577.32	0.25	-
9.	Zn ₂ O ₃ Bypyramid	167.98	10.18	-
10.	2 5	168.00	10.22	-
11.		302.59	302.59	-
12.		367.25	6.15	-
13.		367.27	6.17	-
14.	Zn ₃ O Pyramid	73.30	1.17	-
15.	<u> </u>	306.33	1.14	-
16.		306.51	1.09	-
17.		373.32	12.54	-
18.	Zn_4O_4 Cube	140.29	0.11	3
19.	т т Т	318.01	10.82	3
20.		376.32	13.85	3

 TABLE 2. The frequencies, intensities and degeneracies calculated from

 the first principles for various clusters of zinc oxide

TABLE 3. The calculated bond length of Zn_nO_n clusters

No	Cluster	Bond Length (Å)		
110		Zn - O	Zn - Zn	0 - 0
1	ZnO ₃ Spoke	1.879	-	-
2	ZnO ₃ Triangular	1.879	-	3.255
3	$ZnO_4^{T}T_d$	1.923	-	-
4	ZnO ₄ Spoke	1.936	-	-
5	ZnO_4 Square	1.936	-	2.738
6	Zn ₂ O ₂ Linear	1.833	2.360	-
7	Zn,O, Bytriangular	1.960	2.834	-
8	$Zn_{2}O_{4}$ Dumbbell	1.845	2.622	-
9	Zn ₂ O ₃ Bypyramid	2.020	-	2.673
10	Zn ₃ O Pyramid	2.012	2.825	-
11	Zn_4O_4 Cube	2.032	-	-

RAMAN SPECTRUM

The Raman frequencies of Nd_2BaZnO_5 are measured by Abrashev et al. (1994). From that, the experimentally measured Raman frequency of ZnO_4 measured is 639 cm⁻¹ in A_1g symmetry. This value is very close to our calculated values of 629.6 cm⁻¹ for ZnO_2 linear cluster. Another experimental value of the Raman frequency is 493 cm⁻¹ for internal antistretching vibration. Our calculated vibrational frequencies show nearly same value for ZnO diatomic cluster of 490.5 cm⁻¹. Similarly, the experimental result found the value of Raman frequency of 368 cm⁻¹ that is close to our calculated value showed in Table 4.

Another measurement of the Raman spectra of ZnO is done by Arguello et al. (1969). The optical mode of wurtzite-type crystal ZnO gives good understanding with

our calculated results. The comparison of experimental frequencies and our calculated vibrational frequencies is shown in Table 5.

ZN4O4 RING STRUCTURE

In some of the structures, negative frequencies are found to occur. The negative value of frequency showed the instability of the structure. In the Zn_4O_4 (ring) such an example is found. The frequencies, intensities (degeneracies) calculated for this structure is as follows: -12.91(0.01), 23(0.02), 41.13(0.16), 51.37(0.01), 56.24(4.07), 57.76(0.55), 58.44(1.03), 59.37(3.45), 61.32(0.78), 72.96(36.32), 321.74(0.04), 355.66(22.53), 366.36(21.71), 428.3(0.02), 518.53(0.05), 583.15(2.94), 600.76(0.55), 636.8(0.04) cm⁻¹ (km/mol).



FIGURE 1. The stick-ball models of ZnO clusters minimized to the optimum geometry

TABLE 4. The comparison between calculated and measured vibrational frequencies
of ZnO_4 along with their cluster identification

No.	Experimental value Abrashev et al. 1994 (cm ⁻¹)	Calculated frequency this work (cm ⁻¹)	Cluster this work
1	639	629.6	ZnO ₂ Linear
2	493	490.5	ZnO Diatomic
3	368	367.3	Zn ₂ O ₂ Bypyramid
		373.3	Zn ₃ O Pyramid

TABLE 5. The comparison between calculated and measured vibrational frequencies of zinc oxide along with their cluster identification

No.	Experimental value Arguello et al. 1969 (cm ⁻¹)	Calculated frequency this work (cm ⁻¹)	Cluster this work
1	101	99.3	ZnO ₄ Square
		99.5	ZnO, Spoke
		93.9	ZnO_3^4 Spoke
		93.9	ZnO ₃ Triangular
		94.4	$ZnO_{4}T_{4}$
2	444	440.4	$ZnO_{4}^{T}T_{4}^{u}$
3	380	382.4	$Zn_{2}O_{2}Bytriangular$
		376.3	Zn_4O_4 Cube
4	395		7 7
5	413		
6	579	577.3	Zn ₂ O ₄ Dumbbell
7	585		2 7
8	591		

The negative value will become observable when light of sufficient energy falls on the structure. Usually $(E_1 - E_2) = h\nu$ will be observed in the data. However, if one value is negative, $E_1 - (-E_2) = E_1 + E_2 = h\nu$. The molecular states E_1 and E_2 will impart the frequency v to the incident light of frequency v_{in} so that $v \pm v_{in}$ will be observed. The vibrational spectrum for the ring structure is shown in Figure 2 and the ring is shown in Figure 3.



FIGURE 2. The vibrational spectrum of Zn_4O_4 ring structure calculated from the first principles



FIGURE 3. The Zn_4O_4 ring structure of bond length 1.872 Å and 1.859 Å. The two bond lengths show the lift of atoms from the plane

CONCLUSIONS

We have performed the *ab initio* calculation of vibrational frequencies for several clusters of ZnO and compared with the experimental data. The calculated values are in accord with the experimental values as shown in Tables 4 and 5. From this calculation we find that the ZnO (diatomic) occurs in the real material and the value for calculated ZnO₂ (linear) agrees with the experimental value. We also found that the bond length for ZnO₄ tetrahedral cluster is 1.923 Å which agrees with the experimental (Abrashev et al. 1994) bond length of 1.94 Å between Zn – O.

ACKNOWLEDGEMENTS

We would like to thank the fundamental research grants scheme (FRGS) of the Ministry of Higher Education and the University of Malaya Research Grants (UMRG) which kindly provided support for the purchase of quadro computers and fellowships. We wish to thank the authorities of Universiti Sains Islam Malaysia for the generous support of the research work.

REFERENCES

- Abrashev, M.V., Zlateva, G.A., Iliev, M.N. & Gyulmerov, M. 1994. Optical phonons in Nd₂BaMO₅ (M=Zn,Cu). *Phys. Rev. B* 49: 11783-17788.
- Arguello, C.A., Rousseau, D.L. & Porto, S.P.S. 1969. First-order Raman effect in Wurtzite-type crystals. *Phys. Rev.* 181: 1351-1363.
- Cusco, R., A-Llado, E., Ibanez, J., Artus, L., Jimenez, J., Wang, B. & Callahan, M.J. 2007. Temperature dependence of Raman scattering in ZnO. *Phys. Rev. B* 75: 165202-165212.
- Devi, V.R., Madhavi, M.B., Srihari, E.L., Shrivastava, K.N. & Boolchand, P. 2005. *Ab initio* calculation of vibrational frequencies of GeSI glass. *J. Non-Cryst. Solids* 351: 489-494.
- Hohenberg, P. & Kohn, W. 1964. Inhomogeneous electron gas. *Phys. Rev.* 136: B864-B871.
- Jemali, N.A., Kassim, H.A., Devi, V.R. & Shrivastava, K.N. 2008. *Ab initio* calculation of vibrational frequencies of GeSe flass. *J. Non-Cryst. Solids* 354: 1744-1750.
- Kassim, H.A., Ithnin, A.J., Yusof, N., Devi, V.R. & Shrivastava, K.N. 2007. *Ab initio* calculation of vibrational frequencies of Ge_xP_yS_{1,2x} glass, *J. Non-Cryst. Solids* 353: 111-118.
- Kohn, W. 1999. Nobel lecture: Electronic structure of matterwave functions and density functionals. *Rev. Mod. Phys.* 71(5): 1253-1266.
- Kohn, W. & Sham, L.J. 1965. Self-consistent equations including exchange and correlation effects. *Phys. Rev.* 140: A 1133-A 1138.
- Lucas, M., Wang, Z.L. & Riedo, E. 2007. Growth direction and morphology of ZnO nanobelts revealed by combining *in situ* atomic force microscopy and polarized Raman spectroscopy. *Phys. Rev. B* 81: 045415-045419.
- Rosli, A.N., Zabidi, N.A., Kassim, H.A. & Shrivastava, K.N. 2010a. *Ab initio* calculation of vibrational frequencies of AsO glass. *J. Non-Cryst. Solids* 356: 428-433.
- Rosli, A.N., Kassim, H.A. & Shrivastava, K.N. 2010b. *Ab initio* calculation of vibrational frequencies in a glassy state of Selenium. *Sains Malaysiana* 39(2): 281-283.
- Rosli, A.N., Zabidi, N.A., Kassim, H.A. & Shrivastava, K.N. 2010c. DFT calculations of vibrational frequencies of carbonnitrogen clusters: Raman spectra of carbon-nitrides. J. Cluster Sci. 21: 197-210.
- Samanta, K., Bhattacharya, P. & Katiyar, R.S. 2007. Temperature dependent E₂ Raman modes in the ZnCoO ternary alloy. *Phys. Rev. B* 75: 035208-035212.
- Zabidi, N.A., Rosli, A.N., Kassim, H.A., Shrivastava, K.N., Venkateswara, R.P. & Devi, V.R. 2007. Adsorption of atoms on Thymine: Density functional theory. *Malaysian Journal* of Science 26(2): 99-109.

Ahmad Nazrul Rosli* Faculty of Science and Technology Universiti Sains Islam Malaysia 71800 Nilai, Negeri Sembilan Malaysia Hasan Abu Kassim & Keshav N. Shrivastava Department of Physics University of Malaya 50603 Kuala Lumpur Malaysia *Corresponding author; email: anazrul84@yahoo.com

Received: 4 October 2011 Accepted: 24 October 2012